



JSC „RIETUMU ASSET MANAGEMENT” IMC  
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## CLIENT’S FINANCIAL INSTRUMENTS PORTFOLIO INDIVIDUAL MANAGEMENT AGREEMENT

Date      /      / 20    

Approved by the Board of JSC “Rietumu Asset Management” IMC, Minutes No. 16, 29.12.2020

COMPLETE IN BLOCK CAPITALS

The Joint Stock Company “Rietumu Asset Management” Investment Management Company, registered in the Commercial Register of the Republic of Latvia on January 29, 2014 with unified registration No. 40103753360, legal address: 7 Vesetas str., Riga, LV-1013, the Republic of Latvia, represented by \_\_\_\_\_,  
 acting on the basis of the \_\_\_\_\_, (hereinafter – the Manager), on the one part, and  
 \_\_\_\_\_ (hereinafter – the Client)  
(corporate entity: full name / private individual: name, surname)

**To be completed by a citizen / non-citizen of the Republic of Latvia:**

Identity number  
 \_\_\_\_\_

**To be completed by citizens of other countries:**

Passport / Identity document No.  
 \_\_\_\_\_

Date of birth  
(day/month/year)      \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_

Date of issue of passport /  
 identity document  
(day/month/year)      \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_

Issuing state and institution  
 of passport / identity document \_\_\_\_\_

**To be completed by a corporate entity:**

Registration No.  
 \_\_\_\_\_

Residential / Legal address: \_\_\_\_\_  
(street, house, flat)

City \_\_\_\_\_ Country \_\_\_\_\_ Postal Code \_\_\_\_\_

represented by \_\_\_\_\_ (position) \_\_\_\_\_ (name, surname)

who acts on the basis of \_\_\_\_\_

on the other part, the Manager and the Client (hereinafter – the Parties), concluded this Agreement (hereinafter – the Agreement) on the following:  
 1. The Client transfers his cash funds and/or financial instruments (hereinafter – the Assets) to the Manager for individual management, and the Manager accepts and manages the Assets in the Manager’s own name in the Clients interests, complying with the legislation of the Republic of Latvia.

2. The rights and liabilities of the Parties under the Agreement are regulated by Terms and Conditions of Client’s Financial Instruments Portfolio Individual Management Agreement (hereinafter – the Terms and Conditions). The Terms and Conditions are the main and major document regarding the provision of individual management services by the Manager to the Client within a framework of individual financial instruments portfolio management.

3. The Terms and Conditions regulate the status and regime of the Assets, transferred to the Manager for the management, the procedure of management of the Assets, rights and obligations of the Client and the Manager, the remuneration for the management and other expenses of the Client, procedure of identification of the Client, resolution of disputes, the procedure of amending the Terms and Conditions and other provisions, related to the provision of individual management services.

4. The Parties confirm that they understand the provisions of the Terms and Conditions and the Terms and Conditions are binding upon the Parties within the framework of their relations established by the Agreement. The current version of the Terms and Conditions is available on the Manager’s website [www.rietumu.com/en/ram](http://www.rietumu.com/en/ram).

5. The substantive law of the Republic of Latvia governs the rights and obligations of the Parties arising from the Agreement.

6. Any disputes arising from the performance of the Agreement are resolved by the Parties in accordance with the Terms and Conditions.

7. By signing the Agreement, the Client acknowledges that he is familiar with the Terms and Conditions, the procedure of management is clear to him and no claims or complaints regarding the management will be made to the Manager, if the Manager, while managing the Assets, has acted in accordance with the Terms and Conditions.

8. The Agreement shall enter into force upon its signing by both Parties. The Agreement is entered into for an indefinite period until the Client has removed the Assets from management and/or until the Manager has terminated the management and is valid until the Parties have completely fulfilled their obligations under the Agreement.

9. The Parties are entitled to terminate the Agreement in line with the Terms and Conditions.

10. The Agreement is drawn up and signed in 2 (Two) copies of equal legal force.

Client represented by \_\_\_\_\_  
(name, surname)

Manager represented by \_\_\_\_\_  
(name, surname)

X  
 \_\_\_\_\_  
(signature)

X  
 \_\_\_\_\_  
(signature)

\_\_\_\_\_  
(seal)

\_\_\_\_\_  
(seal)