

Changes in the bank's price list
come into force on 1 June 2023



FINANCIAL AND STOCK MARKET OPERATION FEES

Equities, ETF

Subject	Current definition	New definition	Current rate, EUR	New rate, EUR
CORPORATE ENTITIES, PRIVATE ENTITIES – RESIDENTS AND NON- RESIDENTS	Russia ^{3,4}	Excluded from the Bank's Fees	0.25 %, min 50 USD / 4000 RUB	Excluded from the Bank's Fees

Bonds

Subject	Current definition	New definition	Current rate, EUR	New rate, EUR
CORPORATE ENTITIES, PRIVATE ENTITIES – RESIDENTS AND NON- RESIDENTS	Bonds of Rietumu Banka	Excluded from the Bank's Fees	to be agreed	Excluded from the Bank's Fees

**Derivatives
Futures and futures options**

Subject	Current definition	New definition	Current rate, EUR	New rate, EUR
CORPORATE ENTITIES, PRIVATE ENTITIES – RESIDENTS AND NON- RESIDENTS	Russia ²	Excluded from the Bank's Fees	6.5 RUB + Exchange commissions	Excluded from the Bank's Fees
	OTC instruments on oil products	Excluded from the Bank's Fees	0,5 USD / t	Excluded from the Bank's Fees

Subject	Current definition	New definition	Current rate, EUR	New rate, EUR
CORPORATE ENTITIES, PRIVATE ENTITIES – RESIDENTS AND NON-RESIDENTS		Excluded from the Bank's Fees		

DEFINITIONS CHANGE

Subject	Current definition	New definition
Investment fund shares		
Third party funds shares		
CORPORATE ENTITIES, PRIVATE ENTITIES – RESIDENTS AND NON-RESIDENTS	- Excluding shares of funds of AS "Rietumu Asset Management" IPS	Excluded from the Bank's Fees
Derivatives		
Futures and futures options		
CORPORATE ENTITIES, PRIVATE ENTITIES – RESIDENTS AND NON-RESIDENTS	<ol style="list-style-type: none"> 1) For options assignments and exercises will be charged standard commissions and taxes as for equity operations 2) An additional fee for credit and withdrawal of funds while trading on Moscow Exchange is charged in RUB (31 USD and 41 USD equivalent respectively); options exercise/expiration - 1 RUB per contract 3) Full contract round, commission scale is assigned for the following month according to the results of the current month 	<ol style="list-style-type: none"> 1) For options assignments and exercises will be charged standard commissions and taxes as for equity operations 2) Full contract round, commission scale is assigned for the following month according to the results of the current month
Equities, ETF		
CORPORATE ENTITIES, PRIVATE ENTITIES – RESIDENTS AND NON-RESIDENTS	<ol style="list-style-type: none"> 1) The bank does not guarantee trade execution of OTC US stocks - trading is done on individual request basis only; Hard-to-Borrow fee may apply to short selling. 2) In the absence of DMA 3) Sales or purchase might carry re-registration duty, amount of which is subject to specific equities, as well as other duties; Assets contribution/withdrawal in Russian depositories is 25 EUR per transaction. 4) An additional fee for credit and withdrawal of funds while trading on Moscow Exchange is charged in RUB (31 USD and 41 USD equivalent respectively) 5) In addition stamp duty (0.5% of deal's volume) and PMT Levy (1GBP per transaction) are collected 6) The purchasing shares of French companies with market capitalisation of over 1 billion EUR is applicable to Financial Transaction Tax which equals to 0.3% of the deals amount. The purchasing of shares, depository certificates such as ADRs and GDRs of Italian companies with market capitalisation over 500 million EUR is applicable to Financial Transaction Tax which equals to 0.1% for regulated markets and 0.2% for others markets of the deals amount. The purchasing shares, depository certificates such as ADRs and GDR, of Spanish companies with market capitalisation of over 1 billion EUR is applicable to Financial Transaction Tax which equals to 0.2% of the deals amount. 7) For purchases of shares of the Irish companies stamp duty (1% of deal's volume) is additionally charged 8) In addition, Sales Tax of 0.20% is collected for each executed sell order on the Athens Stock Exchange 9) In addition stamp duty (0.13% of deal's volume) is collected 	<ol style="list-style-type: none"> 1) The bank does not guarantee trade execution of OTC US stocks - trading is done on individual request basis only; Hard-to-Borrow fee may apply to short selling 2) In the absence of DMA 3) In addition stamp duty (0.5% of deal's volume) and PMT Levy (1GBP per transaction) are collected 4) The purchasing shares of French companies with market capitalisation of over 1 billion EUR is applicable to Financial Transaction Tax which equals to 0.3% of the deals amount. The purchasing of shares, depository certificates such as ADRs and GDRs of Italian companies with market capitalisation over 500 million EUR is applicable to Financial Transaction Tax which equals to 0.1% for regulated markets and 0.2% for others markets of the deals amount. The purchasing shares, depository certificates such as ADRs and GDR, of Spanish companies with market capitalisation of over 1 billion EUR is applicable to Financial Transaction Tax which equals to 0.2% of the deals amount 5) For purchases of shares of the Irish companies stamp duty (1% of deal's volume) is additionally charged 6) In addition, Sales Tax of 0.20% is collected for each executed sell order on the Athens Stock Exchange 7) In addition stamp duty (0.13% of deal's volume) is collected

Bonds		
CORPORATE ENTITIES, PRIVATE ENTITIES – RESIDENTS AND NON-RESIDENTS	Government in EUR or USD	Government bonds in EUR or USD
	Corporate in EUR or USD	Corporate bonds in EUR or USD
	Bonds denominated in other currency ¹ <small>1) An additional fee for credit and withdrawal of funds while trading on Moscow Exchange is charged in RUB (31 USD and 41 USD equivalent respectively)</small>	Bonds denominated in other currency