



JSC „RIETUMU ASSET MANAGEMENT” IMC
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Providing consultation on investments in financial instruments

AS Rietumu Asset Management IPS (hereinafter – the Company) provides *non-independent* investment consultation on financial instruments, as the choice of financial instruments is based on the analysis of a limited number of financial instruments.

The Company provides investment consultation on financial instruments that you can trade by using services at AS Rietumu Banka. A complete list of available financial instruments is available on AS Rietumu Banka's homepage <https://www.rietumu.com/en>

AS Rietumu Banka owns 100% of the shares of the Company.

The Company does not provide investment consultation on investing in the shares of investment funds managed by the Company in accordance with the prospectus and / or other documents of the fund.

The Company does not provide investment consultation on investing in financial instruments issued or guaranteed by AS Rietumu Banka.

The Company does not receive any third party inducements for providing investment consultation.

The Company does not provide a periodic review of recommended services or instruments, if investments consultations agreement between the client and the Company is signed for a single consultation or fixed number of consultations and/or for specific term of consultation.

The Company provides a periodic review of recommended services or instruments, if investments consultations agreement between the client and the Company is signed for an unlimited number of consultations and until the termination of the agreement. The frequency of revision is at least once a year and can be increased depending on the complexity of the financial instrument and the client profile.

The frequency of revision is influenced, among others, by the following factors:

- significant changes in the client profile;
- significant changes in financial markets;
- significant macroeconomic and political events, or changes in legislation;
- publication of financial data by the issuer, which significantly affect changes in the level of risk of investments;
- significant corporate events;
- other factors.

During the periodic review the Company determines the client profile based on actual information from AS Rietumu Banka CLIENT APPLICATION FORM FOR OPERATIONS ON FINANCIAL INSTRUMENTS MARKET. The Company provides information to the client through the communication channels specified by the client in Order to receive the investments consultation.