



AS „RIETUMU BANKA”  
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## **JSC “Rietumu Banka” financial and stock market operation fees for clients under management of "Rietumu Asset Management" IMC \***

<b>Country</b>	<b>Instrument type</b>	<b>Conditions</b>
Russia (RTS, Micex)	Equity	0.2%, min 50 USD or 1700 RUB
Russia Bonds no E/C(Micex)	Bonds	0.2% min 1700 RUB
EU countries (except Ireland, Italy, Spain)	Equity	0.2%, min 30 EUR
Ireland, Italy, Spain	Equity	0.2%, min 50 EUR
USA, price <= 1 USD per share	Equity	0.5%, min 70 USD
USA, price >1 <=3 USD per share	Equity	0.5%, min 50 USD
USA, price >=3 USD per share	Equity	0.02\$ per share, min 30 USD
UK	Equity	0.2%, min 40 GBP
LSE IOB (russian GDR's)	Equity	0.18%, min 50 USD
Switzerland	Equity	0.2%, min 40 CHF
Sweden	Equity	0.2%, min 450 SEK
Canada, price >= 1.01CAD	Equity	0.025CAD per share, min 30 CAD
Canada, price < 1CAD	Equity	0.025CAD per share, min 30 CAD
Japan	Equity	0.2%, min 7000 JPY
Hong Kong	Equity	0.45%, min 400 HKD
Norway	Equity	0.25%, min 400 NOK
Xetra Frankfurt	Equity, Equity ADR	2%, min 100 EUR
Corporate Bonds	Bonds	0.08%, min 40 EUR/USD
Government bonds (EUR)	Bonds	0.05%, min 40 EUR
Government bonds (USD)	Bonds	0.05%, min 40 USD

*\*except for clients who have signed the agreement on the transfer of their assets under management from 05.06.2017 till 24.01.2020*

*\*\* Charged from trade amount or per 1 share. In addition can be charged agent's commissions, annual depository fee, shareholder servicing fee, inspection fees, transaction fees (conversion, issuance) etc., including VAT, if is applicable*